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Christian Wefers
cwefers@drdt.com

October 28, 2010

VIA FEDEX

Epiq Bankruptcy Solutions, LLC
Attn: Lehman Brothers Holdings Claims Processing
757 Third Avenue, 3rd Floor
New York, NY 10017

RE: Lehman Brothers Holdings Inc. Chapter 11 Bankruptcy Claims Filing
Claimant: DRRT FBO HANSAINVEST Hanseatische Investment GmbH
Claim Number 60444

To Whom It May Concern:

You will find enclosed our supporting documentation in connection with the above-referenced matter on behalf of our client, HANSAINVEST Hanseatische Investment GmbH, as well as a letter from our client addressing this matter.

A proof of claim was previously submitted on behalf of Universal Investment GmbH (Claim Number 60444). However, we would like to inform you that the management and administration of the fund in question, "DRKV-Universal Fonds Spezialitäten" (ISIN DE0009848796), was assigned to HANSAINVEST Hanseatische Investment GmbH on December 1, 2009 and now bears the name HASA DRK (ISIN DE000DRKRSP4).

Hence, any correspondence should be sent to the undersigned and payments made to claim 60444 should be made to DRRT FBO HANSAINVEST Hanseatische Investment GmbH.

We hereby ask, in good faith, for the amendment of this claim on behalf of HANSAINVEST Hanseatische Investment GmbH in the Lehman Brothers Holding Inc. bankruptcy proceeding in the United States Bankruptcy Court for the Southern District of New York.

We hereby confirm that we are authorized to represent HANSAINVEST Hanseatische Investment GmbH in the United States in connection with this bankruptcy proceeding and attest to the accuracy and truth of the trades provided. We further confirm that all documentation concerning trades received from HANSAINVEST Hanseatische Investment GmbH is documentation kept in the ordinary course of business pursuant to Federal Rules of Evidence, Rule 803 (6) and accurately and truthfully reflects the attached trades. Therefore, it qualifies as an exemption to the hearsay rule and the information sent is sufficient proof to account for the trades.

For any questions or concerns, do not hesitate to contact our office at +1 (786) 235-5000 ext 8018. We appreciate your cooperation.

Sincerely,

Christian Wefers, LL.M
Senior Associate



HANSAINVEST
Hanseatische Investment-GmbH

Postfach 60 09 45, 22209 Hamburg

EPIQ Systems
Attn. Lehman Brother Holdings Claims Processing
757 Third Avenue, 3rd Floor
Third Floor
New York, NY 10017

Unsere Zeichen: KS
Katharina Schößler

Telefon: +49 (40) 3 00 57-6125

Telefax: +49 (40) 3 00 57-6147

E-Mail:
Katharina.Schoessler@hansainvest.de

22.10.2010

Lehman Brothers Holdings Inc., Claim Number 60444
HANSAINVEST Hanseatische Investment-GmbH on behalf of "HANSA DRK"

Dear Sir or Madam,

We would like to inform you that the management and administration of the fund "DRKV-Universal-Fonds-Spezialitäten" (ISIN: DE0009848796; WKN:984879) was assigned from Universal Investment-GmbH to HANSAINVEST Hanseatische Investment-GmbH on 1st December 2009.

We further would like to inform you that the fund was renamed as "HANSA DRK" (ISIN: DE000DRKRSP4; WKN: DRKRSP).

Any payments following from the Lehman Brother Holdings Claims Processing with reference to the claim number 60444 have to be paid to HANSAINVEST Hanseatische Investment-GmbH.

Universal Investment-GmbH will confirm the assignment of the management and administration separately and is informed about this letter.

Best Regards

HANSAINVEST
Hanseatische Investment-GmbH

A handwritten signature in dark ink, appearing to be 'Dr. Stotz'.

Dr. Stotz

A handwritten signature in dark ink, appearing to be 'K. Schößler'.

Schößler

United States Bankruptcy Court/Southern District of New York

Lehman Brothers Holdings Claims Processing Center
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, P.O. Box 5076
New York, NY 10150-5076

In Re:
Lehman Brothers Holdings Inc., et al.,
Debtors.

Chapter 11
Case No. 08-13555 (JMP)
(Jointly Administered)

**LEHMAN SECURITIES PROGRAMS
PROOF OF CLAIM**

Filed: USBC - Southern District of New York

Lehman Brothers Holdings Inc., Et Al,

08-13555 (JMP)

0000060444



Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <http://www.lehman-docket.com> as of July 17, 2009

Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)

Universal-Investment-GmbH solely on behalf and for account of DRKV-Universal-Fonds/
Segment Spezialtaeten
Erlenstrasse 2
D-60325-Frankfurt am Main
Germany

Telephone number: +4969710430 Email Address: recht@ui-gmbh.de

☐ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number: _____
(If known)

Filed on: _____

Name and address where payment should be sent (if different from above)

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

Telephone number: Email Address:

1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.

Amount of Claim: \$ 5,464,830.16 (Required)



Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.

2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.

International Securities Identification Number (ISIN): XS0247679573 (Required)

3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.

Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:

9454822 (Required)

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:

12780 (Required)

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

Date:
10/27/2009

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

Frank Schroeder

i.v. Holzapfel

FOR COURT USE ONLY

FILED / RECEIVED

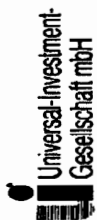
OCT 30 2009

EPIQ BANKRUPTCY SOLUTIONS, LLC

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

FRANK SCHROEDER

ANDREAS HOLZAPFEL



LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM AS OF 10/27/2009

SUPPORTING DOCUMENT

ISIN	Fund/Fund Segment	Cur.	Nominal	Price	market value	market value	Interest until and including 9/15/2008	market value + interest	FX rate EUR/USD	Total in USD until and including 9/15/2008
XS0247679573	DRKV- UNIVERSAL- FONDS - SPEZIALITÄ- TEN	EUR	5.000.000,00	76,737066	3.836.853,30	3.836.853,30	0,00	3.836.853,30	1,42430	5.464.830,16

IMPORTANT NOTE:

ADDITIONALLY, WE CLAIM FOR ACCRUED INTEREST POST SEPTEMBER 15, 2008 WHICH IS NOT INCLUDED IN THE TOTAL USD AMOUNT (SEE ABOVE)!

for explanation.

CurncyHP

CLOSE/VALUE

EUR EURO SPOT

PRICE 1.4816

Page 1 / 1
Bloomberg 3G1

Range 9/15/08 to 10/15/08

Period ☒ Daily
Market ☒ mid/trdHI 1.4774 ON 9/22/08
AVE 1.4059
LOW 1.3408 ON 10/10/08

DATE	PRICE	DATE	PRICE	DATE	PRICE
		9/26	1.4614		
		T 9/25	1.4609		
10/15	1.3499	H 9/24	1.4621		
10/14	1.3619	T 9/23	1.4648		
10/13	1.3581	H 9/22	H1.4774		
10/10	L1.3408	P 9/19	1.4466		
10/ 9	1.3604	T 9/18	1.4348		
10/ 8	1.3654	H 9/17	1.4326		
10/ 7	1.3588	T 9/16	1.4129		
10/ 6	1.3499	H 9/15	1.4243		
10/ 3	1.3772				
10/ 2	1.3819				
10/ 1	1.4009				
9/30	1.4092				
9/29	1.4434				

Australia 61 2 9777 8600 Brazil 5511 3048 4500 Europe 44 20 7330 7500 Germany 49 69 9204 1210 Hong Kong 852 2977 6000
 Japan 81 3 3201 8900 Singapore 65 6212 1000 U.S. 1 212 318 2000
 Copyright 2009 Bloomberg Finance L.P.
 SN 496929 6855-1000-0 28-Oct-2009 09:42:36



BNP PARIBAS SA au capital de 1 772 303 528 euros
Immatriculée sous le n° 662 042 449 RCS – Identifiant C.E FR76662042449
Siège social : 16, bld des italiens – 75009 PARIS – www.bnpparibas.com

CORPORATE ACTION – ADVICE ON LEHMAN CODE

BNP PARIBAS SECURITIES SERVICES

Fax : 01 42 98 78 06

BNP

UNIVERSAL INVESTMENT GES MBH
DRKV UNIVERSAL FONDS *273102*
ERLENSTRASSE 2 *2730 02*
60325 FRANKFURT AM MAIN
GERMANY

Account number
PARB DEFF 30026 97572
1030026846M *DRKV* UI SPEZIALITA273102

Reference
0258E – 2009475110

October 9th, 2009

LEHMAN BRO TR06-11
«XS0247679573»

Sirs,

Please find hereafter the information related to your instruction for the consent on Lehman.

Custody Account: 1030026846M
ISIN: XS0247679573
Security Name: LEHMAN BRO TR06-11
Type of event: CONSENT
Instruction's date: 08-10-2009
Quantity: 5 000 000 FAMT
Blocking reference: 9454822

For more information, please feel free to contact your dedicated account manager.

Best regards.

BNP PARIBAS Securities Services





UNTERSCHRIFTENVERZEICHNIS
LIST OF AUTHORIZED SIGNATURES

- Juli 2009 / July 2009 -

Dieses Unterschriftenverzeichnis ersetzt alle vorhergehenden.
This list of Authorized Signatures replaces all previous ones.



**Folgende Gruppen zeichnen gemeinsam:
Following groups will sign jointly:**

Gruppe I Group I	Geschäftsführer Managing Directors
Gruppe II Group II	Generalbevollmächtigter General Manager
Gruppe III Group III	Direktoren A: Directors A:
Gruppe IV Group IV	Direktoren B: Directors B:
Gruppe V Group V	Prokuristen Holders of unlimited Procuration
Gruppe VI Group VI	Handlungsbevollmächtigte Holders of limited Procuration
Gruppe VI Group VI	nur gemeinsam mit der Gruppe I – V will sign only together with Group I – V



IV. DIREKTOREN A / DIRECTORS A :

Schröder, Frank

ppa. Schröder

Wedewer, Thomas

ppa. Thomas Wedewer

Wolf, Michael

ppa. Michael Wolf

Zimmermann, Bodo

ppa. Bodo Zimmermann



VI. HANDLUNGSBEVOLLMÄCHTIGTE / HOLDERS OF LIMITED PROCURATION:

Helmbold, Mathias

i.V. M. Helmbold

Heßlinger, Ralph

i.V. Ralph Heßlinger

Holzapfel, Andreas

i.V. Holzapfel

Karst, Elmar

i.V. Karst

Kempter, Andreas

i.V. Andreas Kempter

Keppler, Ayla

[Elternzeit]

Kiefer, Jürgen

i.V. Kiefer

Knoke, Annika

i.V. Knoke

Krischeu, Stefan

i.V. Krischeu

Universal-Investment-Gesellschaft mbH, Postfach 17 05 48, 60079 Frankfurt am Main



Epiq Bankruptcy Solutions, LLC
Attn. Lehman Brother Holdings Claims Processing
757 Third Avenue, 3rd Floor
New York, New York 10017
U.S.A.

Ihre Zeichen

Ihre Nachricht vom

Unsere Zeichen

Frankfurt am Main, den

Sö-Hz

October 27, 2009

**Lehman Brother Holdings Claims
Universal-Investment-Gesellschaft mbH on behalf of DRKV-Universal-Fonds/Segment
Spezialitaeten**

Dear Sir or Madam,

In the above referenced matter we refer to the order of the UNITED STATES BANKRUPTCY COURT (Chapter 11 Case No. 08-13555 (JMP)) regarding deadline for filing claims based on Lehman Programs Securities of November 2, 2009.

We would like to provide you with the following information in order to facilitate the process of filing our claims.

Universal-Investment-Gesellschaft mbH ("UIG") is an investment company (*Kapitalanlagegesellschaft*) pursuant to the German Investment Act regulated by the German Supervisory Financial Authority (BaFin). As such UIG is acting as an administrator for different funds that have been established under German law. These funds are estates without legal capacity on their own which are administrated and managed by UIG. UIG is authorized in its own name to dispose of a fund's assets in accordance with the German Investment Act and is entitled to exercise any and all rights arising therefrom.

UIG holds securities with the ISIN mentioned in the Proof of Claim at BNP Paribas Securities Services S.A., Zweigniederlassung Frankfurt am Main, which is the Custodian Bank for the fund DRKV-Universal-Fonds/Segment Spezialitaeten.

For further details please see the attached appendixes.

We kindly ask you to confirm receipt of this proof of claim. In case if you have any further questions do not hesitate to contact us.

Kind regards

UNIVERSAL-INVESTMENT-GESELLSCHAFT MBH

Cash jewellery and cash equivalent

1. Customer Reference
Account Number: 2 9 5 8 9 8
Sender's account number: 2 9 5 8 9 8
SENDERS LIABLE FOR UNPAID CHARGES

2. Collection Address
Address: Universal-Investment-Ges.mbh
City: Frankfurt
Postcode: 60326
Country: D
Tel No: 0667/1043208

3. Delivery Address
Address: [Blank]
City: [Blank]
Postcode: [Blank]
Country: [Blank]
Tel No: [Blank]

4. Dangerous Goods
Does this consignment contain any dangerous goods? Yes ☐ No ☒

5. Special Delivery Instructions
12:00 Economy Express ☐
12:00 Express ☒
10:00 Express ☐
9:00 Express ☐
Special Express ☐
Priority ☐
Insured ☐

6. Goods Descriptions
General description: [Blank]
Weight: [Blank]
Length: [Blank]
Width: [Blank]

7. Return Address
Address: [Blank]
City: [Blank]
Postcode: [Blank]
Country: [Blank]
Tel No: [Blank]

8. Product
GE 401996693 WW

9. TNT Logo

TNT **INT/AIR** **2** **send**

Con No. 401996693 **Service** Express **Option** [Blank]

Place 1 of 1 **Weight** [Blank]

Customer Reference [Blank] **Origin** FRT **Pickup Date** 29 Oct 2009

S/R Account No. [Blank] **Routing** LGG-9 JFK

Delivery Address [Blank] **RECEIVED** OCT 30 2009

NEW YORK 10017 **US** **NYC 30**

Postcode / Cluster Code 10017

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Attn: Lehman Brother Holdings
Claims Processing
757 Third Avenue, 3rd Floor
New York, NY 10017
USA

From: Origin ID: MPBA (786) 235-5000
Alexander Reus
DRRT
100 SE 2ND STREET
SUITE 2610
MIAMI, FL 33131



J10301010040225

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Lehman Brothers Holdings Claims Pro
Epiq Bankruptcy Solutions, Inc.
757 3RD AVE FL 3

NEW YORK, NY 10017

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Dept #

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OCT 29 2010

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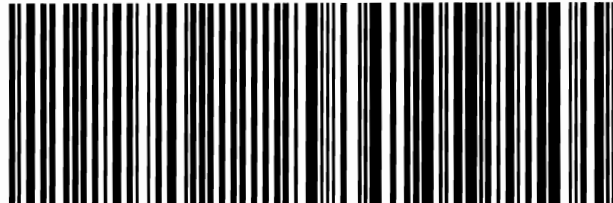
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